

Docket No. 240123US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira YAMADA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: AMIDE COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report and listed on the attached form PTO-1449. The listed references are of record in prior application No. 09/869,962, filed July 10, 2001.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Frederick D. Vastine, Ph.D.
Registration No. 27,013

Docket No. 240123US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira YAMADA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: AMIDE COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report and listed on the attached form PTO-1449. The listed references are of record in prior application No. 09/869,962, filed July 10, 2001.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Frederick D. Vastine, Ph.D.
Registration No. 27,013

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 240123US0DIV		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akira YAMADA, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,647,805	03/07/72	T. IRIKURA, et al.			
	AB	5,723,490	03/03/98	R. D. TUNG			
	AC	5,346,907	09/13/94	J.F. KERWIN, et al.			
	AD	6,344,358	02/05/02	Matsuoka et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	0 308 337	03/22/89	EUROPE			
	AP	0 299 493	01/18/89	EUROPE			
	AO	WO 97/28141	08/07/97	WIPO			
	AR	WO 97/17957	05/22/97	WIPO			
	AS	22 40 665	03/07/74	GERMANY			
	AT	0 002 401	06/13/79	EUROPE			
	AU	WO 96/31501	10/10/96	WIPO			
	AV	25 45 501	04/22/76	GERMANY			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Search Results of 37 references with RN delineation before 1998					
	AX	Archibald et al. "Antiinflammatory 3-[2- [4- (substituted-benzamido) piperidino]ethyl] indoles" CA 72:121363 (1969)					
	AY	Jullien et al. "Functional derivatives of 4-benzyl-1-piperidinecarboxylic acids" CA 74:99888 (1970)					
	AZ	Pomaret et al. "10-Phenothiazinyl 1-peperazinyl ketones, and their pharmacological activity" CA 74:42381 (1969)				<input checked="" type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

240123US0DIV

SERIAL NO.

New Application

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Akira YAMADA, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA						
	AAB						
	AAC						
	AAD						
	AAE						
	AAF						
	AAG						
	AAH						
	AAI						
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AAO	23 11 570	09/13/73	GERMANY		
	AAP	0 625 507	11/23/94	EUROPE		
	AAQ	WO 97/11069	03/27/97	WIPO		
	AAR	0 255 134	02/03/88	EUROPE		
	AAS	2 077 252	03/01/94	CANADA		
	AAT	0 628 310	12/14/94	EUROPE		
	AAU	19 38 512	01/14/71	GERMANY		
	AAV	0 700 913	06/13/96	EUROPE		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAW	
	AAX	
	AAZ	
	AAZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 240123US0DIV		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akira YAMADA, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAAA						
	AAAB						
	AAAC						
	AAAD						
	AAAE						
	AAAF						
	AAAG						
	AAAH						
	AAAI						
	AAAJ						
	AAAK						
	AAAL						
	AAAM						
	AAAN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AAAO	WO 94/22826	10/13/94	WIPO			
	AAAP	WO 98/27930	07/02/98	WIPO			
	AAAQ	WO 98/35951	08/20/98	WIPO			
	AAAR	0 436 734	07/17/91	EUROPE			
	AAAS	WO 98/25914	06/18/98	WIPO			
	AAAT						
	AAAU						
	AAAV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAAW						
	AAAX						
	AAAY						
	AAAZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							